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PTO/SB/08A (08-09)

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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

<i>Complete If Known</i>	
Application Number	09/997,409
Filing Date	November 30, 2001
First Named Inventor	Delaney
Group Art Unit	2131 2162
Examiner Name	Leanne J. Cornelius
Attorney Docket Number	ØBLX-01037US0

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

Examiner Signature	/Jean Corrielus/	Date Considered	05/11/2007
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	RUNTIME MODIFICATION OF ENTRIES IN AN IDENTITY SYSTEM
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Application Number: 09/997409



Confirmation Number: 2499

First Named Applicant: Shawn Delany

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Attorney Docket Number: OBLX-01037US0

MAR 19 2004

Art Unit: 2131 2/6 Z

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Search string:

( 5077666 or 5455953 or 5530861 or 5581691  
 or 5678041 or 5692125 or 5708780 or 5764890  
 or 5991810 or 5999911 or 6073109 or 6098056  
 or 6131120 or 6138104 or 6141778 or 6151531  
 or 6158010 or 6182142 or 6208986 or 6212558  
 or 6256739 or 6266420 or 6338097 or 6366913  
 or 6434531 or 6442567 or 6539379 or  
 20020032684 or 20030145074 ).pn.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/JC/	1	5077666	1991-12-31	Brimm et al.			
/JC/	2	5455953	1995-10-03	Russell			
/JC/	3	5530861	1996-06-25	Diamant et al.			
/JC/	4	5581691	1996-12-03	Hsu et al.			
/JC/	5	5678041	1997-10-14	Baker et al.			
/JC/	6	5692125	1997-11-25	Schloss et al.			
/JC/	7	5708780	1998-01-13	Levergood et al.			
/JC/	8	5764890	1998-06-09	Glasser et al.			
/JC/	9	5991810	1999-11-23	Shapiro et al.			
/JC/	10	5999911	1999-12-07	Berg et al.			
/JC/	11	6073109	2000-06-06	Flores et al.			
/JC/	12	6098056	2000-08-01	Rusnak et al.			
/JC/	13	6131120	2000-10-10	Reid			



/JC/	14	6138104	2000-10-24	Marchak et al.
/JC/	15	6141778	2000-10-31	Kane et al.
/JC/	16	6151531	2000-11-21	Frankel et al.
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/JC/	18	6182142	2001-01-30	Win et al.
/JC/	19	6208986	2001-03-27	Schneck et al.
/JC/	20	6212558	2001-04-03	Antur et al.
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/JC/	24	6366913	2002-04-02	Fitler, Jr., et al.
/JC/	25	6434531	2002-08-13	Lancelot et al.
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/JC/	27	6539379	2003-03-25	Vora et al.

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## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
/JC/	1	20020032684	2002-03-14	Kobayashi et al.			
/JC/	2	20030145074	2003-07-31	Penick			

## Signature

Examiner Name	Date
/Jean Corrielus/	05/11/2007

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JAYINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

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## Complete If Known

Application Number	09/997,409
Filing Date	November 30, 2001
First Named Inventor	Delany
Art Unit	2131
Examiner Name	
Attorney Docket Number	OBLX-01037US0 Technology Center 2100

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
/JC/		LEON, McAfee's NetTools Promises to Ease Network Desktop Diagnosis, InfoWorld, San Mateo, 7/24/1995, Vol. 17, Iss. 30, p. 53.		
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/JC/		SiteMinder Agent Operations, Verson 4.0, Netegrity Inc., 1997		
/JC/		SiteMinder Deployment Guide, Version 4.0, Netegrity Inc., 1997		
/JC/		SiteMinder Policy Server Operations Guide, Version 4.0, Netegrity Inc., 1997		
/JC/		SiteMinder Developer's API Guide, Version 4.0, Netegrity Inc., 1997		

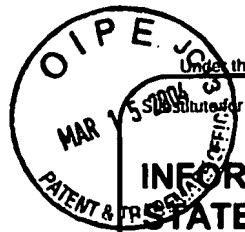
Examiner Signature	/Jean Corrielus/	Date Considered	05/11/2007
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**Attorney Docket Number**

**Complete if Known**

**Application Number** 09/897,400

**Filing Date** November 1

**First Named Inventor**

Art Unit 6121

**Examiner Name**

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## NON-PATENT LITERATURE DOCUMENTS

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Substitute for form 1449B/PTO				<b>Complete If Known</b>	
				<i>Application Number</i>	09/997,409
				<i>Filing Date</i>	November 30, 2001
				<i>First Named Inventor</i>	Delany, Shawn P.
				<i>Art Unit</i>	2162
				<i>Examiner Name</i>	Jean M. Corrielus
Sheet	1	of	3	<i>Attorney Docket Number</i>	021756-013400US

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JCI/	A1	US-5,901,287 A	05-04-1999	Bull et al.	
/JCI/	A2	US-6,104,705 A	08-15-2000	Ismail et al.	
/JCI/	A3	US-6,356,996	03-12-2002	Adams, Phillip M.	
/JCI/	A4	US-6,487,600 B1	11-26-2002	Lynch, Thomas W.	
	A5	US-2003-0105654 A1	06-05-2003	Macleod et al.	
	A6	US-6,609,198 B1	08-19-2003	Wood et al.	
	A7	US-6,859,823 B1	02-22-2005	Nishihara et al.	
	A8	US-6,986,138	01-10-2006	Sakaguchi et al.	
	A9	US-2006-0195575 A1	08-31-2006	Shawn P. Delany	
	A10	US-7,107,526	09-12-2006	Weller, Scott Wayne	
	A11	US-7,124,354	10-17-2006	Ramani et al.	

<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1							
	B2							

Examiner Signature	/Jean Corrielus/	Date Considered	05/14/2007
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				<i>Application Number</i>	09/997,409
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				<i>Examiner Name</i>	Jean M. Corrielus
Sheet	2	of	3	<i>Attorney Docket Number</i>	021756-013400US

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/JC/	C1	US Patent Application No. 09/997,408, Office Action dated August 7, 15 pages	<input type="checkbox"/>
	C2	US Patent Application No. 09/998,893, Office Action dated July 17, 2006, 17 pages	<input type="checkbox"/>
	C3	US Patent Application No. 09/998,893, Final Office Action dated January 4, 2007, 12 pages	<input type="checkbox"/>
	C4	US Patent Application No. 09/998,895, Final Office Action dated July 20, 2006, 22 pages	<input type="checkbox"/>
	C5	US Patent Application No. 09/998,895, Final Office Action dated January 18, 2007, 17 pages	<input type="checkbox"/>
	C6	US Patent Application No. 09/998,898, Advisory Office Action dated December 29, 2006, 3 pages	<input type="checkbox"/>
	C7	US Patent Application No. 09/998,898, Office Action dated March 7, 2007, 10 pages	<input type="checkbox"/>
	C8	US Patent Application No. 09/998,908, Final Office Action dated August 22, 2006, 25 pages	<input type="checkbox"/>
	C9	US Patent Application No. 09/998,910, Office Action dated September 27, 2006, 12 pages	<input type="checkbox"/>
	C10	US Patent Application No. 09/998,910, Final Office Action dated February 14, 2007, 15 pages	<input type="checkbox"/>
	C11	US Patent Application No. 09/998,914, Final Office Action dated February 16, 2007, 13 pages	<input type="checkbox"/>
▼	C12	US Patent Application No. 09/998,916, Advisory Action dated April 5, 2006, 11 pages	<input type="checkbox"/>

Examiner Signature	/Jean Corrielus/	Date Considered	05/14/2007
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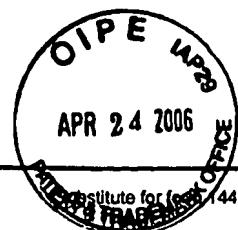
Substitute for form 1449B/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<i>Application Number</i>	09/997,409
<i>(Use as many sheets as necessary)</i>				<i>Filing Date</i>	November 30, 2001
				<i>First Named Inventor</i>	Delany, Shawn P.
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				<i>Examiner Name</i>	Jean M. Corrielus
Sheet	3	of	3	<i>Attorney Docket Number</i>	021756-013400US

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/JC/	C13	US Patent Application No. 09/998,916, Office Action dated September 5, 2006, 20 pages	<input type="checkbox"/>
	C14	US Patent Application No. 09/998,916, Office Action dated February 23, 2007 12 pages	<input type="checkbox"/>
	C15	US Patent Application No. 09/998,926, Office Action dated September 11, 2006, 19 pages	<input type="checkbox"/>
	C16	US Patent Application No. 09/998,926, Office Action dated February 26, 2007, 16 pages	<input type="checkbox"/>
	C17	US Patent Application No. 10/145,684, Office Action dated June 30, 2006, 25 pages	<input type="checkbox"/>
	C18	US Patent Application No. 10/146,150, Advisory Office Action dated December 5, 2006, 13 pages	<input type="checkbox"/>
↓	C19	Wahl, M., "Informed Control Enabling Identity Interoperability", <a href="http://www.ldap.com/1/commentary/wahl/20050203_01.shtml">http://www.ldap.com/1/commentary/wahl/20050203_01.shtml</a> , Feb 3, 2005, 3 pages	<input type="checkbox"/>

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## Complete If Known

Application Number	09/997,409
Filing Date	November 30, 2001
First Named Inventor	Delany, Shawn P.
Art Unit	2161
Examiner Name	Cindy Nguyen J. Corrielus
Attorney Docket Number	021756-013400US

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JC/	A1	US-5,301,320	04-05-1994	McAtee et al.	
	A2	US-5,659,616	08-19-1997	Sudia	
	A3	US-5,734,837	03-31-1998	Flores et al.	
	A4	US-5,745,574	04-28-1998	Muftic	
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	A8	US-5,867,665	02-02-1999	Butman et al.	
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	A15	US-5,987,457	11-16-1999	Ballard	
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	A18	US-6,012,098	01-04-2000	Bayeh et al.	
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	A35	US-2001-0007133 A1	07-05-2001	Moriconi et al.	
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				Filing Date	November 30, 2001
				First Named Inventor	Delany, Shawn P.
				Art Unit	2161
				Examiner Name	Cindy Nguyen J. Corrielus
Sheet	2	of	7	Attorney Docket Number	021756-013400US

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/JC/	A37	US-6,263,363 B1	07-17-2001	Rosenblatt et al.	
	A38	US-6,292,833 B1	09-18-2001	Liao et al.	
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	A47	US-6,336,214 B1	01-01-2002	Sundaresan	
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	A50	US-2002-0053023 A1	05-02-2002	Patterson et al.	
	A51	US-2002-0062435 A1	05-23-2002	Nemirovsky et al.	
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	A57	US-2002-0099822 A1	07-25-2002	Rubin et al.	
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	A59	US-2002-0108003 A1	08-08-2002	Ellis et al.	
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	A61	US-2002-0129024 A1	09-12-2002	Lee	
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✓	A71	US-2002-0147746 A1	10-10-2002	Lee	
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60751752 v1

Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	09/997,409
<i>(Use as many sheets as necessary)</i>				Filing Date	November 30, 2001
				First Named Inventor	Delany, Shawn P.
				Art Unit	2161
				Examiner Name	Gindy Nguyen <i>J. Corriels</i>
Sheet	3	of	7	Attorney Docket Number	021756-013400US

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No.	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JC/	A73	US-2002-0147813 A1	10-10-2002	Teng et al.	
	A74	US-2002-0152244 A1	10-17-2002	Dean et al.	
	A75	US-2002-0152254 A1	10-17-2002	Teng	
	A76	US-2002-0156879 A1	10-24-2002	Delany et al.	
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	A79	US-2002-0184444 A1	12-05-2002	Shandony	
	A80	US-6,493,702 B1	12-10-2002	Adar et al.	
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	A93	US-6,591,260 B1	07-08-2003	Schwarzhoff et al.	
	A94	US-6,606,644 B1	08-12-2003	Ford et al.	
	A95	US-6,632,251 B1	10-14-2003	Rutten et al.	
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60751752 v1

Substitute for form 1449A/PTO				<b>Complete if Known</b>	
				Application Number	09/997,409
				Filing Date	November 30, 2001
				First Named Inventor	Delany, Shawn P.
				Art Unit	2161
				Examiner Name	Cindy Nguyen <i>J. Corrielus</i>
Sheet	4	of	7	Attorney Docket Number	021756-013400US

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JC/	A109	US-6,782,379 B2	08-24-2004	Lee	
	A110	US-6,785,726 B1	08-31-2004	Freeman et al.	
	A111	US-6,791,949 B1	09-14-2004	Ryu et al.	
	A112	US-6,816,871 B2	11-09-2004	Lee	
	A113	US-6,842,863 B1	01-11-2005	Fox et al.	
	A114	US-6,851,054 B2	02-01-2005	Wheeler et al.	
	A115	US-6,854,016 B1	02-08-2005	Kraenzel et al.	
	A116	US-6,886,170 B1	04-26-2005	Bahrs et al.	
	A117	US-6,901,588 B1	05-31-2005	Krapf et al.	
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↓	A119	US-6,968,503 B1	11-22-2005	Chang et al.	
	A120	US-6,970,862 B2	11-26-2005	Kwan	

<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
/JC/	B1	WO	02/07396	A1	01-24-2002	Nokia Corporation		<input type="checkbox"/>
	B2							<input type="checkbox"/>

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Substitute for form 1449B/PTO				<i>Complete if Known</i>	
				<i>Application Number</i>	09/997,409
				<i>Filing Date</i>	November 30, 2001
				<i>First Named Inventor</i>	Delany, Shawn P.
				<i>Art Unit</i>	2161
				<i>Examiner Name</i>	Gindy Nguyen- <i>J. Corielus</i>
Sheet	5	of	7	<i>Attorney Docket Number</i>	021756-013400US

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
T <sup>2</sup>					
/JC/	C1	Howes, Timothy A. et al., "Understanding And Deploying LDAP Directory Services," Netscape Communications Corporation, pp. 2 cover pages, 102-105, 277-292 and 699-723, 1999			<input type="checkbox"/>
	C2	Myers, M. et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP," The Internet Society, 22 pages, June 1999			<input type="checkbox"/>
	C3	US Patent Application No. 09/997,408, Office Action dated September 7, 2005, 11 pages			<input type="checkbox"/>
	C4	US Patent Application No. 09/997,408, Final Office Action dated February 15, 2006, 7 pages			<input type="checkbox"/>
	C5	US Patent Application No. 09/998,893, Office Action dated June 13, 2005, 20 pages			<input type="checkbox"/>
	C6	US Patent Application No. 09/998,893, Final Office Action dated December 28, 2005, 12 pages			<input type="checkbox"/>
	C7	US Patent Application No. 09/998,895, Office Action dated March 25, 2005, 18 pages			<input type="checkbox"/>
	C8	US Patent Application No. 09/998,895, Final Office Action dated August 1, 2005, 19 pages			<input type="checkbox"/>
	C9	US Patent Application No. 09/998,895, Advisory Action dated October 20, 2005, 3 pages			<input type="checkbox"/>
	C10	US Patent Application No. 09/998,895, Office Action dated February 7, 2006, 13 pages			<input type="checkbox"/>
	C11	US Patent Application No. 09/998,898, Office Action dated September 21, 2005, 13 pages			<input type="checkbox"/>
↓	C12	US Patent Application No. 09/998,908, Office Action dated January 25, 2005, 24 pages			<input type="checkbox"/>

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				<i>Application Number</i>	09/997,409
				<i>Filing Date</i>	November 30, 2001
				<i>First Named Inventor</i>	Delany, Shawn P.
				<i>Art Unit</i>	2161
				<i>Examiner Name</i>	Gindy-Nguyen <i>J. Corrielus</i>
Sheet	6	of	7	<i>Attorney Docket Number</i>	021756-013400US

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T <sup>2</sup>					
/JC/	C13	US Patent Application No. 09/998,908, Final Office Action dated August 22, 2005, 14 pages			<input type="checkbox"/>
	C14	US Patent Application No. 09/998,908, Advisory Action dated November 1, 2005, 3 pages			<input type="checkbox"/>
	C15	US Patent Application No. 09/998,908, Office Action dated February 16, 2006, 15 pages			<input type="checkbox"/>
	C16	US Patent Application No. 09/998,910, Office Action dated January 28, 2005, 12 pages			<input type="checkbox"/>
	C17	US Patent Application No. 09/998,910, Office Action dated December 13, 2005, 10 pages			<input type="checkbox"/>
	C18	US Patent Application No. 09/998,914, Office Action dated July 14, 2005, 19 pages			<input type="checkbox"/>
	C19	US Patent Application No. 09/998,914, Final Office Action dated January 30, 2006, 15 pages			<input type="checkbox"/>
	C20	US Patent Application No. 09/998,916, Office Action dated March 21, 2005, 16 pages			<input type="checkbox"/>
	C21	US Patent Application No. 09/998,916, Final Office Action dated September 8, 2005, 12 pages			<input type="checkbox"/>
	C22	US Patent Application No. 09/998,916, Advisory Action dated December 9, 2005, 4 pages			<input type="checkbox"/>
	C23	US Patent Application No. 09/998,926, Office Action dated August 25, 2005, 26 pages			<input type="checkbox"/>
↓	C24	US Patent Application No. 09/998,926, Final Office Action dated February 27, 2006, 15 pages			<input type="checkbox"/>

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				Filing Date	November 30, 2001
				First Named Inventor	Delany, Shawn P.
				Art Unit	2161
				Examiner Name	Gindy Nguyen <i>J. Corrielus</i>
Sheet	7	of	7	Attorney Docket Number	021756-013400US

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/JC/	C25	US Patent Application No. 09/999,074, Office Action dated March 24, 2005, 24 pages			<input type="checkbox"/>
	C26	US Patent Application No. 09/999,074, Final Office Action dated September 20, 2005, 19 pages			<input type="checkbox"/>
	C27	US Patent Application No. 09/999,074, Advisory Action dated December 20, 2005, 3 pages			<input type="checkbox"/>
	C28	US Patent Application No. 09/999,177, Office Action dated March 25, 2005, 23 pages			<input type="checkbox"/>
	C29	US Patent Application No. 09/999,177, Final Office Action dated September 14, 2005, 26 pages			<input type="checkbox"/>
	C30	US Patent Application No. 09/999,177, Advisory Action dated December 14, 2005, 6 pages			<input type="checkbox"/>
	C31	US Patent Application No. 10/145,684, Office Action dated June 16, 2005, 17 pages			<input type="checkbox"/>
	C32	US Patent Application No. 10/145,684, Final Office Action dated December 1, 2005, 10 pages			<input type="checkbox"/>
	C33	US Patent Application No. 10/145,684, Advisory Action dated March 9, 2006, 3 pages			<input type="checkbox"/>
	C34	US Patent Application No. 10/146,150, Office Action dated July 27, 2005, 17 pages			<input type="checkbox"/>
	C35	US Patent Application No. 10/146,150, Final Office Action dated December 20, 2005, 12 pages			<input type="checkbox"/>
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